Attorney Docket No. 021433-000120US

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ROBERT S. KIEVAL et al.

Application No.: 09/963,777

Filed: September 26, 2001

ELECTRODE DESIGNS AND

METHODS OF USE FOR CARDIOVASCULAR REFLEX

CONTROL DEVICES

Confirmation No. 1758

Examiner: Oropeza, Frances P.

T.C./Art Unit: 3762

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 9 of this paper.

09/963,777

September 26, 2001

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TRANSMITTAL FORM

Fee Attached			First Named Inventor	KIEVAL, ROBERT S.		
Total Number of Pages in This Submission Check all that apply	(to be used for all correspondence after initial filing)		Art Unit	3762		
Submission ENCLOSURES (Check all that apply) Fee Transmittal Form			Examiner Name	Orpeza, Frances P.		
Fee Transmittal Form	-	10	Attorney Docket Number	021433-000120US		
Fee Attached	ENCLOSURES (Check all that apply)					
Amendment/Reply	Fee Transmittal Form	☐ Drawin	ng(s)	After Allowance Communication to Group		
After Final Petition to Convert to a Provisional Application Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Request Presented Copy of Priority Document(s) Remarks The Commissioner is authorized to charge any additional fees to Dep Account 20-1430. Affidavits/declaration(s) Proprietary Information Other Enclosure(s) (please identify below): Preliminary Amendment (7 pages) (Return Postcard Provisional Application Change of Correspondence Address Request for Refund Preliminary Amendment (7 pages) (Return Postcard Provisional Application The Commissioner is authorized to charge any additional fees to Dep Account 20-1430. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Film Townsend and Townsend and Crew LLP	Fee Attached	Licens	sing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Affidavits/declaration(s) Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) (please identify below): Request for Refund CD, Number of CD(s) Preliminary Amendment (7 pages) (Return Postcard Preliminary Amendment	Amendment/Reply	Petition	on	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
☐ Affidavits/declaration(s) Change of Correspondence Address ☐ Status Letter ☐ Extension of Time Request ☐ Terminal Disclaimer ☐ Other Enclosure(s) (please identify below): ☐ Express Abandonment Request ☐ Request for Refund Preliminary Amendment (7 pages) (Return Postcard ☐ Information Disclosure Statement ☐ CD, Number of CD(s) Preciminary Amendment (7 pages) (Return Postcard ☐ Certified Copy of Priority Document(s) Remarks The Commissioner is authorized to charge any additional fees to Dep Account 20-1430. ☐ Response to Missing Parts/ Incomplete Application ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Townsend and Townsend and Crew LLP	After Final			Proprietary Information		
☐ Extension of Time Request ☐ Request for Refund Preliminary Amendment (7 pages) (Return Postcard ☐ Information Disclosure Statement ☐ CD, Number of CD(s) Preliminary Amendment (7 pages) (Return Postcard ☐ Certified Copy of Priority Document(s) Remarks The Commissioner is authorized to charge any additional fees to Dep Account 20-1430. ☐ Response to Missing Parts/Incomplete Application ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Townsend and Townsend and Crew LLP	Affidavits/declaration(s)			Status Letter		
Express Abandonment Request CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Dep Account 20-1430. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or	Extension of Time Request	Termin	nal Disclaimer	<u> </u>		
Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Townsend and Townsend and Crew LLP	Express Abandonment Request					
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Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Townsend and Townsend and Crew LLP	· · · · · ·	Rema	Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.			
signature of Applicant, Attorney, or Agent Firm Townsend and Townsend and Crew LLP						
Firm Townsend and Townsend and Crew LLP			·			
or						
	or					
Individual Scott M. Smith Reg. No. 48,268	Soott M Cmi					
Signature Mashitt						
Date April 14, 2004						
CERTIFICATE OF TRANSMISSION/MAILING						
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Signature Date April 14, 2004	Signature	Date April 14, 2004				

Application Number

Filing Date